

## Orthodontic Considerations in Bone Graft Selection for Alveolar Cleft Repair (Pertimbangan Ortodontik dalam Pemilihan Graf Tulang untuk Pembaikan Klef Alveolus)

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### ABSTRACT

*The enthusiastic development of non-autogenous bone graft materials to correct oral cleft defects in dentistry is founded on arguments of post-operative morbidity and quantity limitation when using conventional iliac crest bone grafts. While success in tooth movement is usually reported for the grafted extraction socket, the results cannot be extrapolated to congenital alveolar clefts as there are differences in terms of vasculature and soft tissue support. This paper provides an overview of the dental and skeletal anomalies in cleft patients, followed by the orthodontic implications of cleft correction and, lastly, a review of the available evidence in bone grafts used for alveolar clefts alone. The non-autogenous grafts used are derived from another human (allografts), animal (xenografts), synthetic bones (alloplasts) or the latest tissue-engineered graft material. The main advantage of using these grafts is a reduction in the number of operative sites. The drawbacks are cost, reduced tooth movement, possibility of root resorption and host reaction. Tissue-engineered grafts seem promising but there is still a lack of clinical trials in human subjects. Important properties related to orthodontics are the graft resorption rate, along with the effect on root resorption and tooth movement rate. To date, autogenous bone grafts remain the first choice for cleft repair.*

*Keywords: Alveolar bone graft; autogenous bone; cleft palate; orthodontic tooth movement; tissue engineering*

### ABSTRAK

*Kadar morbiditi yang tinggi setelah pembedahan graf tulang alveolar serta keterbatasan kuantiti tulang penderma daripada kresta iliak mencetuskan perkembangan pesat dalam teknologi penghasilan graf tulang bukan autogenus. Graf tulang bukan autogenus telah dilaporkan mampu menyokong pergerakan gigi secara ortodontik merentasi soket cabutan. Namun begitu, pergerakan gigi mungkin terhalang sekiranya graf tersebut digunakan pada defek yang lebih besar seperti klef alveolus kongenital. Ini disebabkan perbezaan daripada segi vaskularisasi dan sokongan tisu lembut antara kedua-dua jenis defek tersebut. Artikel ini membincangkan tentang anomali pergigian dan rahang pesakit klef bibir dan/atau lelangit, implikasi ortodontik terhadap pembedahan klef dan rumusan perkembangan semasa graf tulang yang digunakan dalam defek tulang alveolar. Sumber graf tulang bukan autogenus boleh diperolehi daripada manusia lain (alograf), haiwan (xenograf), bahan sintetik (aloplas) atau disediakan secara kejuruteraan tisu. Kelebihan utama penggunaan graf bukan autogenus adalah pengurangan bilangan kawasan pembedahan manakala kelemahannya termasuk kos yang tinggi, pergerakan gigi yang terhad dan kemungkinan berlakunya penyerapan akar gigi serta tindak balas tubuh badan perumah yang negatif. Penggunaan graf daripada teknologi kejuruteraan tisu mempunyai potensi yang memberangsangkan tetapi masih memerlukan lebih banyak bukti daripada kajian klinikal. Ciri-ciri penting sesuatu graf yang perlu dipertimbangkan dalam bidang ortodontik adalah kadar penyerapan graf, selain kesan terhadap penyerapan akar dan kadar pergerakan gigi. Sehingga kini, graf tulang autogenus masih menjadi pilihan dan merupakan kaedah piawai dalam rawatan pembedahan klef tulang alveolar.*

*Kata kunci: Graf tulang alveolar; klef lelangit; kejuruteraan tisu; pergerakan gigi secara ortodontik; tulang autogenus*

### INTRODUCTION

The presence of healthy and sufficient bone is important in orthodontics as applied forces cause continuous deposition and resorption of alveolar bone, resulting in tooth movement (Meikle 2005; Zainal Ariffin et al. 2011). This becomes a problem in cleft alveolus and palate patients. Surgical repair via alveolar bone grafting is carried out to bridge the defect and to prevent progressive loss of periodontal support at the teeth adjacent to the cleft site (Boyne & Sands 1976). The orthodontist in the cleft team will prepare adequate space for surgical access and correct

the malocclusion after surgery. It is therefore important that graft selection does not hamper any orthodontic movements later.

The current gold standard is the use of an autogenous iliac bone graft as it is biocompatible and fulfils all the graft properties to regenerate new bone (Janssen et al. 2014; Reichert et al. 2010). Nevertheless, the constant debate on post-operative morbidity risks has led researchers to look into other substitutes to completely replace or expand autogenous bone (Guo et al. 2011; Weissler et al. 2016). Reichert et al. (2010) reviewed the effects of different

non-autogenous grafts on tooth movement across all types of alveolar defects. However, orthodontic tooth movement is characterized by sequential events in bone remodelling (i.e. activation, bone resorption, reversal and then bone formation) (Abdul Wahab et al. 2014) that are highly dependent on blood supply. Hence, the tooth movement behaviour across an extraction socket defect - especially in the mandible - or a cystic defect cannot be extrapolated to an alveolar cleft due to differences in bone type, defect size and amount of vasculature (Cohen & Cohen-Lévy 2014; Politis et al. 2016). As tissue engineering is a fast-changing area of research, we aim to reassess the impact of non-autogenous bone grafting for orthodontic tooth movement in cleft cases specifically.

#### EFFECTS OF CLEFT LIP AND/OR PALATE ON TOOTH AND ARCH DEVELOPMENT

The prevalence of cleft lip and/or palate (CLP) within the Asian population (1.19/1000 births) does not differ much between the Chinese, Japanese and other Asian countries but is significantly different than the Caucasian population (1.00/1000 births) (Cooper et al. 2006). In Malaysia, the incidence was 1.24 per 1000 livebirths, with the Chinese affected most frequently and Malay affected the least (Boo & Arshad 1990). There is a higher proportion of unilateral CLP with predilection to the left side (Cheng et al. 2013; Shah et al. 2015).

Dental development in cleft patients is affected either as a direct attribute of the cleft or as a consequence of early repair. In the cleft locality, the lateral incisor is the most commonly affected tooth in terms of presence, size, shape and root development (López-Giménez et al. 2018; Weissler et al. 2016). Supernumerary teeth are found in 20% of cases (Weissler et al. 2016), usually distal to the cleft side (De Menezes et al. 2012). Hypodontia of the lateral incisor occurs in more than half of cases; hypodontia of teeth beyond the cleft site occurs in almost a third (Dewinter et al. 2003). Fortunately, the severity of hypodontia is not related to the extent of the cleft (López-Giménez et al. 2018). Root development of the permanent cleft-side lateral incisor is delayed (Celebi et al. 2015) and overall tooth size is reduced three-dimensionally (Zhou et al. 2013).

A 2-year longitudinal study of 602 infants in Denmark found bimaxillary retrognathia and reduced posterior height of the maxilla when cleft palate is present (Kreiborg et al. 2013). Crossbite was present more frequently in the primary dentition when the cleft width was narrow at infancy in patients with unilateral CLP (Reiser et al. 2010). Cleft width, however, was not correlated to the presence of crossbite in cleft palate patients. This was postulated to be due to the effects of nasoalveolar moulding and early lip repair on the anterior premaxilla segment (Reiser et al. 2010). The resulting maxillary hypoplasia usually necessitates correction via Le Fort I maxillary advancement around the age of 18 years old; in cleft patients the surgical relapse risk is increased (da Silva et al. 2018).

Extraorally, the cleft patient will exhibit a significantly wider alar base root width, a flattened nose and a broader nostril floor width on the cleft side. The upper lip tended to be shorter in length but wider, with thinner upper vermilion thickness (Siti Adibah & Noor Airin 2016; Zreaqat et al. 2012). Despite the appearance, the nasal airway function is not affected when assessed in adulthood (Reiser et al. 2011).

#### ALVEOLAR BONE GRAFTING AND ORTHODONTIC CONSIDERATIONS

The oral health-related quality of life (OHRQoL) in non-syndromic CLP patients is significantly lower than in the normal population, especially for the domains of functional well-being and social-emotional well-being (Antonarakis et al. 2013). However, the OHRQoL improved with surgical treatment of the cleft and was positively correlated with patients and their parents' treatment satisfaction (Munz et al. 2011). Surgical repair of the cleft palate with a bone graft is considered the standard of care. Alveolar bone grafting (ABG) is used to close off the oronasal communication, improve oral hygiene by avoiding nasal leakage, stabilize the maxillary arch and provide a continuous alveolar ridge for eruption of canines, enhance support of the alar base and avoid prosthetic reconstruction (Dewinter et al. 2003).

Surgery before the eruption of canines (termed early secondary ABG or 'SABG') is preferred because it does not stunt growth at the middle face and premaxilla region, it facilitates tooth eruption, which in return provides functional loading to the graft, and it has a higher graft uptake rate (Lilja 2009; Weissler et al. 2016). The age for surgery is still a point of contention: one author posited that surgery done before eruption of central incisors will prevent their eruption into the cleft (Miller et al. 2010), instead assisting in early maxillary arch restoration, providing bone for incisor eruption and lessening the future surgical and orthodontic burden. However, the study lacks long-term follow-up on the anteroposterior and transverse maxilla growth. Grafting when the permanent canine root is a quarter to two-thirds formed is still the popular choice (Lilja 2009).

Infant orthopaedics (IO), including nasoalveolar moulding, is advocated in certain centres to improve facial appearance, ease lip surgery and stimulate maxillary growth. This view is debatable as there was no difference in facial appearance after the age of 6 years between children who had and had not undergone IO when viewed by laymen (Bongaarts et al. 2008). A systematic review found insufficient evidence to support the orthodontic benefits of IO in the long term (Uzel & Alparslan 2011). Pre-surgical orthodontic treatment is carried out before ABG to align teeth and improve surgical access (Lilja 2009). Dental alignment improves oral hygiene, thereby reducing low-grade inflammation post-operation that may

cause graft breakdown; correction of central incisor inclination improves surgical access and bone graft placement while also facilitating wound closure (Chang et al. 2016).

Post SABG, loading of the graft occurs as teeth erupt into or are moved orthodontically towards the graft. Sun et al. (2018) showed that initial bone resorption induced by osteoclasts started the bone remodelling process, followed by inflow of osteoblasts. This was proved by the sequential increase of cytokines TRAP, RANKL and RUNX-2, which are established biomarkers of tooth movement (Zainal Ariffin et al. 2011). Comparison between stimulated and non-stimulated grafts shows denser bone at week 8 post-operation in the stimulated graft group. Tooth presence contributes to preservation of the grafted bone and to differentiation of the periodontal support (Da Silva Filho et al. 2000). Approximately 70% of canines erupt spontaneously within three months of SABG, failing which orthodontic forces may be applied to tract them into position (Da Silva Filho et al. 2000). Current consensus advocates that orthodontic tooth movement (OTM) be commenced six months after SABG, provided that a post-operative cone beam computed tomography result shows successful graft uptake (Shetye 2016). As the growth slows, orthognathic surgery will be indicated in 25-65% of patients, depending on whether it is a unilateral or bilateral cleft (Daskalogiannakis and Mehta 2009; DeLuke et al. 1997).

#### TYPES OF BONE GRAFT AND THE ORTHODONTIC IMPLICATIONS

Important general properties in a bone graft are biocompatibility, ease of sterilization and use, as well as being inexpensive (Damien & Parsons 1991). Biologically, bone substitute should be able to exhibit elements of osteoconduction, osteoinduction, osteogenesis and osteointegration to form new bone (Laurencin et al. 2006).

The basic requirement of any bone graft is to be 'osteoconductive', that is, the ability of the graft to act as a framework or scaffold upon which osteoblasts and blood vessels from adjacent native bone orientate to create new Haversian systems (Giannoudis et al. 2005; Kumar et al. 2013; Laurencin et al. 2006). 'Osteoinduction' involves the ability of the graft to induce conversion of host mesenchymal cells into osteoprogenitor cells (Damien & Parsons 1991). The cells within donor bone that contribute to new bone formation by proliferation and differentiation into osteocytes represent the 'osteogeneity' of the graft (Laurencin et al. 2006). The graft finally integrates with native bone through surface bonding (Giannoudis et al. 2005). Only autogenous bone fulfils all the biological characteristic of ideal graft material to date (Moore et al. 2001). Table 1 shows studies in the past 10 years that compared orthodontic outcomes in different types of non-autogenous grafts used in alveolar clefts specifically. The majority of the studies were carried out in animal models, with only one randomized clinical trial conducted by a team from Thailand. While there was no distinct preference

TABLE 1. Studies measuring orthodontic tooth movement in grafted alveolar clefts

Author(s)	Type of study	Type of alveolar defect	Type of graft	Primary outcome (related to orthodontics)
Pradel et al. (2008)	Case report	Alveolar cleft	Tissue-engineered bone	Eruption and migration of teeth into graft area
Thuaksuban et al. (2010)	Randomized clinical trial	Alveolar cleft	Iliac bone vs. iliac bone mixed with xenograft	Spontaneous or orthodontically-assisted tooth eruption
Zhang et al. (2011)	Animal study	Simulated cleft palate	Mesenchymal stem cells on $\beta$ -TCP vs. $\beta$ -TCP alone vs. iliac bone	Amount of OTM Alveolar bone height
Lazarou et al. (2011)	Case series/report	Alveolar cleft	Calcium sulphate (alloplast)	Deciduous tooth eruption
MacIsaac et al. (2012)	Retrospective cohort	Alveolar cleft	Iliac bone vs. iliac bone mixed with DBM and allograft	Amount of bone retained after 3 months Tooth eruption as secondary outcome
da Silva Filho et al. (2013)	Case report	Unilateral alveolar cleft	Allograft	Eruption of canine
Tanimoto et al. (2015)	Animal study	Simulated cleft palate	Mesenchymal cells on HA scaffold vs. empty HA scaffold	Amount and rate of OTM
Susarla et al. (2015)	Retrospective cohort	Uni- and bilateral alveolar cleft	Iliac crest bone vs. iliac crest mixed with allograft	Velocity of canine eruption
Ru et al. (2016)	Animal study	Simulated alveolar cleft	BoneCeramic vs. Bio-Oss® vs. negative control	OTM distance and rate, root resorption and bone changes
Hammoudeh et al. (2017)	Retrospective cohort	Alveolar cleft	rhBMP-2 mixed with DBM vs. iliac bone	Canine eruption

for graft choice, studies that utilized tissue-engineered grafts were limited. The orthodontic outcomes of interest were amount and rate of tooth movement or tooth eruption, root resorption, as well as local bone changes.

#### AUTOGENOUS BONE

The success of autogenous bone, especially using cancellous bone from the anterior iliac crest, in alveolar bone grafting is well-established (Boyne & Sands 1976; Da Silva Filho et al. 2000; Tanimoto et al. 2015). Iliac crest is accessed either through a trapdoor technique or through trephination, depending on the amount of bone required (Thuaksuban et al. 2010). The advantage of using iliac bone is the large amount of harvest and its corticocancellous nature, which provides a combination of osteoinduction and osteoconduction properties with good contractile strength (Coots 2012; Rawashdeh & Telfah 2008). The main critique of its harvest is the distant operative site pain. However, one study found this argument to be over-emphasized and that the pain could be alleviated with a small dose of analgesics (Dawson et al. 1996).

Alternatively, calvaria bone affords advantages such as reduced post-operative morbidity, reduced functional deformity at the donor site, close vicinity to the operating field, good quantity of harvestable bone and inconspicuous scar formation (Eichhorn et al. 2009). Tibial bone could be harvested in a shorter time with a greater amount of good quality bone, allows two surgical teams to work simultaneously, gives less bleeding and produces a smaller scar with no long-term morbidity. However, skeletal growth is restricted in a growing child. Harvesting bone from rib may lead to serious complications such as post-operative chest infection or pneumothorax; and mandible bone may risk injuring the roots of adjacent teeth. These sources are also less popular because of higher morbidity risks due to close proximity to vital organs and slow bony remodelling (Han et al. 2017; Kalaaji et al. 2001; Lilja 2009; Rawashdeh & Telfah 2008; Tessier et al. 2005; Walker et al. 2009). Use of rib graft especially is discouraged because it leads to difficulty in tooth movement (Coots 2012).

On two-dimensional radiographs, an iliac bone graft is able to maintain up to 11 mm in bone bridge height in 40% patients even after 2 years (Tanimoto et al. 2013). Nagashima et al. (2014), however, found that almost 50% of the graft volume resorbed within 6 months, thus, suggesting earlier orthodontic traction to confer protection against this resorption. The iliac crest can be re-harvested in the future for an interpositional graft after Le Fort I advancement when patients are ready for orthognathic surgery (Posnick & Gray 2015).

#### ALLOGRAFTS

Allografts refer to cadaveric human bone that has been processed through freeze-drying, chemical sterilization and/or irradiation. The last two treatments reduce the osteoconductive property of the graft, therefore freeze-

dried bone is the most widely used graft within and outside the dental setting (Malinin et al. 2014). Osteoconductive and osteoinductive properties are conferred by the presence of bone morphogenetic protein (BMP) (da Silva Filho et al. 2013). The exclusive use of allografts is uncommon due to the possibility of disease transmission from donor to host (Laurencin et al. 2006), ineffective osteogenesis of demineralized bone matrix (DBM) in large cleft defects (Madrid et al. 2014) and the high cost (MacIsaac et al. 2012). Hence, allografts are commonly used as an adjunct to autogenous bone grafts in growing children where tooth eruption is incomplete.

Surgical results in terms of bone height and periodontal support of the graft, rate of canine eruption and orthodontic movement were not significantly different whether allografts were used as an adjunct or on their own (da Silva Filho et al. 2013; Susarla et al. 2015). The possibility of canine eruption, however, was lower compared to autogenous bone grafts (MacIsaac et al. 2012).

#### XENOGRAFTS

Xenografts are sterilized processed bone from one species that is transplanted into another species (Nazirkar et al. 2014). Researchers found comparable orthodontic results and graft changes compared to iliac bone grafts (Thuaksuban et al. 2010). Total root resorption, measured histologically and morphometrically as a percentage of area (%), was less when the first permanent molars were moved into composite bovine xenografts compared to untreated extraction sockets in minipigs (Oltramari et al. 2007). This representation of root resorption is different from the commonly reported measurements of root length reduction (in mm) or resorption crater volume increment (in mm<sup>3</sup>) (Abdul Wahab et al. 2017). Benlidayi et al. (2012) compared clinical and radiographic outcomes between using bovine xenografts and iliac grafts in SABG. Results were more promising in the former group, with 100% graft uptake, better patient satisfaction and less resorption. Periodontal parameters were similar in both groups. The results, however, should be interpreted with caution as patient factors were more favourable in the xenograft group (younger age and higher percentage of unerupted canines) and follow-up was significantly longer.

In a large case review by Hammoudeh et al. (2017), 414 patients who received either an autogenous iliac crest bone graft or a recombinant human bone morphogenetic protein (rhBMP)-impregnated xenograft were followed over a 12-year period to assess the success of the graft both clinically and functionally. They concluded that there was no difference in functional success (i.e. canine eruption) between both types of graft source. The complications of prolonged intubation and facial swelling requiring steroid therapy, however, should warrant a more detailed explanation. Regardless of the risk-benefit analysis, use of xenografts may still be restricted in certain religions and cultures, such as Hinduism, Judaism and the PETA (People for the Ethical Treatment of Animals) animal rights group



(Jenkins et al. 2010), as well as for Muslim patients if the graft is porcine.

#### SYNTHETIC BONE/BONE SUBSTITUTES

Synthetic bone materials satisfy at most only two of the ideal graft properties: osteointegration and osteoconduction (Moore et al. 2001). In alveolar bone grafting, the synthetic materials most commonly used are beta-tricalcium phosphate ( $\beta$ -TCP) and hydroxyapatite (HA). The former has a compressive and tensile strength comparable to cancellous bone and is resorbed within 6-18 months' post insertion. The resorption rate is as high as 60% but this does not affect functional loading of the graft (De Ruiter et al. 2015).

Hydroxyapatite, on the other hand, resists compression better: ceramic HA is nearly non-resorbable, whereas non-ceramic HA resorbs more readily *in vivo*. 'Ceramic HA' is a highly crystallized structure that has been sintered at a high temperature (Moore et al. 2001). Another source of HA, coralline porous block hydroxyapatite (PBHA), was widely used as an interpositional implant in orthognathic surgery but its use was contraindicated in alveolar clefts as the graft lacked the rigidity for initial stability; also, its inability to obtain watertight closure in cleft cases led to easy contamination of the graft. In addition, PBHA does not resorb, thus tooth movement into the graft will result in significant root resorption (Cottrell & Larry 1998). A HA/collagen composite, on the other hand, could be replaced by autogenous bone after 6 months and the total volume did not differ significantly from using an iliac bone graft (Takemaru et al. 2015).

Ceramics of biphasic calcium phosphate (BCP) combine the degradation rate of  $\beta$ -TCP and the osteoconductivity and biocompatibility of HA in differing proportions (Piattelli et al. 1996). Use of BCP has been well-documented in implant placement (Piattelli et al. 1996) and sinus lifting (Cordaro et al. 2008) procedures. Shamsuddin et al. (2017) showed that the new bone formed was homogenous with surrounding native bone. In orthodontics, Ru et al. (2016) investigated the rate of tooth movement in rats grafted with BoneCeramic, a BCP with 40%  $\beta$ -TCP and 60% HA, and found that it slowed down tooth movement when compared to xenografts. Nonetheless, OTM results in rats should be extrapolated to humans cautiously due to the small tooth size in rats and, consequently, their body adaptation towards orthodontic force (Ibrahim et al. 2017).

#### TISSUE-ENGINEERED GRAFTS

To date, human experiments on tissue-engineered bone that employs the use of mesenchymal stem cells to bridge the alveolar cleft remain scarce. Hibi et al. (2006) utilized mesenchymal cells sourced from bone marrow mixed with platelet-rich plasma to induce osteogenesis in the alveolar cleft of a 9-year-old girl. They found bone formation starting after 3 months and the density continued to increase, allowing bone bridging and subsequent eruption

of canines and lateral incisors. The presence of mesenchymal stem cells provides the stimulus for angiogenesis within a scaffold, resulting in greater bone formation and remodelling and thus a smoother rate of tooth movement than a control (Tanimoto et al. 2015; Zhang et al. 2011).

Early tooth movement was feasible in the tissue-engineered bone group because bone resorption occurred after 4 weeks and new bone formation was seen on week 8 (Sulaiman et al. 2013; Zhang et al. 2011). Nevertheless, more research is required to test this time frame on human subjects. In short, the prospect of tissue engineering to repair an alveolar cleft is very promising but more clinical studies are necessary to determine the resorption or replacement rate of the bone, the effect on orthodontic tooth movement and any possible complications in the long term.

#### CONCLUSION

This paper discussed the impact of CLP on orthodontics, with a focus on orthodontic outcomes among different types of bone grafts used in alveolar cleft repair. Tooth movement and tooth eruption were possible in most non-autogenous grafts, except those that do not resorb, such as the coralline PBHA. However, on pooling the findings of the studies selected in this review, we are unable to suggest the best non-autogenous graft to replace autogenous bone. The use of different types of animal models may not provide a meaningful comparison because there is an adaptation difference between animals. Similarly, results from a wrong animal model may not be extrapolated to human trials. There is a niche to establish a suitable animal model for experimenting with the effects of grafts in human cleft alveolus.

While surgical success is obtained in all types of grafts, the functional success and safety of the graft are more important to an orthodontist. Grafts should be stable in order to allow both self-eruption and orthodontic traction of the canines. This should be matched with a resorption rate that is fast enough to prevent unwanted root resorption. New research should measure this as a primary outcome. An autogenous bone graft using the anterior iliac crest remains the graft of choice, but tissue engineering may provide an exciting option in the future.

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