Sains Malaysiana 49(7)(2020): 1697-1712 http://dx.doi.org/10.17576/jsm-2020-4907-20

Gold Nanoparticles Biosynthesized using *Lignosus rhinocerotis* Extracts: Comparative Evaluation of Biostatic and Cytotoxicity Effects

(Nanozarah Emas Biosintesis menggunakan Ekstrak *Lignosus rhinocerotis*: Penilaian Perbandingan Kesan Biostatik dan Kesitotoksikan)

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ABSTRACT

Gold nanoparticles (AuNPs) are a unique class of nanomaterials applied in biomedical applications. Biological synthesis or biosynthesis of AuNPs offers advantages including simple and cost-effective method as well as nontoxic to human, making it superior to chemical synthesis. In this study, comparative evaluation was conducted for antimicrobial and cytotoxicity effects of chemically synthesized (Chem-AuNPs) and biosynthesized AuNPs (Bio-AuNPs). Chem- and Bio-AuNPs were produced using sodium citrate and L. rhinocerotis extracts, respectively. Different methods namely hot and cold-water extraction (HWE and CWE, respectively) were used to extract L. rhinocerotis sclerotium, a medicinal mushroom. Both types of nanoparticles were stabilized using chitosan (CS) and characterized for their physical characteristics, followed by determination of antibacterial and cytotoxicity effects in vitro. Formation of AuNPs exhibited surface plasmon resonance (SPR) band at 465-564 nm and 523-544 nm for Chem-AuNPs and Bio-AuNPs, respectively, as determined by UV-vis spectroscopy. CS-stabilized AuNPs had larger size of particles than nonstabilized ones, ranging from 200 to 500 nm. Both Chem- and Bio-AuNPs showed biostatic activity against Grampositive bacteria (Bacillus sp. and Staphylococcus aureus) and Gram-negative bacteria (Pseudomonas aeruginosa and Escherichia coli). The cytotoxicity assay for 24 h showed higher cell viability for Bio-AuNPs than Chem-AuNPs, indicating relatively less toxicity of Bio-AuNPs. In conclusion, Bio-AuNPs using the mushroom extracts and CS provide opportunities for developing stable, safer, and eco-friendly nanoparticles with effective antibacterial properties for clinical applications.

Keywords: Antibacterial effect; cytotoxicity; green synthesis; metal nanoparticles; nanocomposites

ABSTRAK

Nanozarah emas (AuNPs) merupakan satu kelas bahan nano yang unik, digunakan dalam aplikasi bioperubatan. Sintesis secara biologi atau biosintesis AuNPs menawarkan kelebihan termasuk kaedah sintesis yang mudah dan kos-efektif serta tidak bertoksik kepada manusia, menjadikan kaedah ini lebih unggul berbanding sintesis kimia. Dalam kajian ini, penilaian secara perbandingan telah dilakukan untuk kesan antimikrob dan kesitotoksikan terhadap AuNPs yang dihasilkan secara kimia (Chem-AuNPs) dan biologi (Bio-AuNPs). Chem- dan Bio-AuNPs dihasilkan masing-masing menggunakan natrium sitrat dan ekstrak L. rhinocerotis. Kaedah berbeza iaitu pengekstrakan air panas dan sejuk (masing-masing diringkaskan sebagai HWE dan CWE) telah digunakan untuk mengekstrak sklerotium L. rhinocerotis iaitu sejenis cendawan bernilai perubatan. Kedua-dua jenis nanozarah ini telah distabilkan menggunakan kitosan (CS) dan ditentukan ciri-ciri fizikal nanozarah yang terhasil, diikuti dengan penentuan kesan antibakteria dan kesitotoksikan secara in vitro. Pembentukan AuNPs memperlihatkan jalur resonans plasmon permukaan (SPR) pada 465-564 nm dan 523-544 nm, masing-masing untuk Chem- dan Bio-AuNPs vang ditentukan menggunakan spektroskopi UV. AuNPs yang distabilkan oleh CS mempunyai saiz zarah yang lebih besar berbanding AuNPs yang tidak distabilkan, dengan saiz zarah antara 200 hingga 500 nm. Kedua-dua Chem- dan Bio-AuNPs menunjukkan kesan biostatik terhadap bakteria gram-positif (Bacillus sp. dan Staphylococcus aureus) dan bakteria gram-negatif (Pseudomonas aeruginosa dan Escherichia coli). Ujian kesitotoksikan selama 24 jam menunjukkan daya kehidupan sel yang lebih tinggi untuk Bio-AuNPs berbanding Chem-AuNPs, membuktikan Bio-AuNPs adalah kurang toksik secara relatif. Kesimpulannya, Bio-AuNPs yang dihasilkan menggunakan ekstrak cendawan dan CS menyediakan peluang untuk membangunkan nanozarah yang stabil, lebih selamat dan mesra alam dengan sifat antibakteria yang berkesan untuk aplikasi klinikal.

Kata kunci: Kerintangan antibiotik; kesitotoksikan; nanokomposit; nanozarah logam; sintesis hijau

INTRODUCTION

The rising of antimicrobial resistance to pathogenic bacteria is a significant threat to human health. Recently, the Center for Disease Control (CDC) has declared that the 'post-antibiotic era' (Control & Prevention 2019) is about to struck the world, by which bacterial infections cause more death than cancer and the existing drugs can no longer perform miracles. This has led to the search of new antibiotics and the application of nanotechnology is one of the promising approaches to address the problem. Metallic nanoparticles such as gold and silver are known to exhibit antimicrobial activity and are used to combat infections, including those that are resistant to existing antibiotics (Shahzadi et al. 2018). Gold nanoparticles (AuNPs) have been successfully developed as new antibacterial agents and in comparison, to silver nanoparticles, AuNPs were less toxic (Asharavani et al. 2010). Large surface area of AuNPs alongside surface functionalization with other molecules has allowed the development of versatile AuNPs with enhanced antibacterial activity (Alaqad & Salleh 2016).

Metallic nanoparticles can be produced by physical and chemical methods (Ishak et al. 2019; Katas et al. 2018). More recently, many studies have explored ways to produce metallic nanoparticles using biology method or green synthesis, reported to be environmentally friendly approaches (Ahmed et al. 2019; Sulaiman et al. 2013). Despite chemical synthesis produces very small AuNPs particles (~4 nm), the particles however, are poorly stable (Ojea-Jiménez et al. 2010). The use of toxic materials in chemical synthesis also increases the risk of adverse effect to human beings. Therefore, the application of natural sources has received great interests as an alternative to the chemical approach (Shankar et al. 2004).

Biosynthesis is a non-toxic approach for synthesizing AuNPs with high catalytic activity and specific surface area (Chokriwal et al. 2014). In this approach, natural sources such as plants (Kaviya 2017) and microorganisms (Pantidos & Horsfall 2014) had been used as reducing agents, reducing gold ions into nanoparticles. Recently, Lignosus rhinocerotis extracts have been reported to reduce gold ions into AuNPs (Katas et al. 2019). In the study, LC-MS of sclerotium of L. rhinocerotis extracts (LRE) (through cold water extraction (CWE) and hot water extraction (HWE)) showed high contents of polysaccharides, oligosaccharides, fatty acids and phenols, potentially acted as reducing agents and the resultant AuNPs exhibited enhanced antibacterial activity (Katas et al. 2018). The mushroom has also been successfully cultivated for commercialization, allowing the use of its extract for the development of AuNPs as an antibacterial agent.

Stabilizing agent is widely used in the production of metallic nanoparticles to prevent aggregation (Hussain et al. 2015). Chitosan (CS) and its derivatives have been

used as reducing and stabilizing agents for synthesizing stable AuNPs (Nazirov et al. 2016). CS as stabilizing agent is affordable and environmentally friendly, making it suitable for green synthesis. CS is also non-toxic and biodegradable and thus, it has been extensively used for improving biocompatibility of metallic nanoparticles (Bonardd et al. 2016).

In this study, comparative evaluation was carried out for physical characteristics, antibacterial, and cytotoxicity effects of chemically synthesized (Chem-AuNPs) and biosynthesized AuNPs (Bio-AuNPs). Chem-AuNPs were produced using sodium citrate (a well-known method developed by Turkevich and French) while, Bio-AuNPs were produced using LRE, by HWE, and CWE. Both Chem- and Bio-AuNPs were stabilized using CS and characterized for their physical characteristics, followed by determination of their antibacterial activity against selected gram-positive and -negative bacteria and cytotoxicity effect *in vitro*.

MATERIALS AND METHODS

MATERIALS

Gold (III) chloride hydrate (99.999% trace metals basis) was purchased from Sigma-Aldrich (Malaysia). Sodium citrate tribasic dihydrate was purchased from Sigma-Aldrich (Ireland). *L. rhinocerotis* sclerotial powder was provided as a gift from Lignas Bio Synergy Plt., Selangor, Malaysia. Low molecular weight (LMW) CS (molecular weight of 190 kDa, 75-85% degree of deacetylation) was purchased from Sigma-Aldrich (Ireland). Glacial acetic acid (99.7% purity) was purchased from R&M Chemicals, UK. Distilled water was produced in the laboratory using Hamilton WCS/85 Cabinet Water Still.

For antibacterial test, four bacterial strains (*Staphylococcus aureus* ATCC 6538, *Pseudomonas aeruginosa* ATCC 9027, *Bacillus* sp. ATCC 27380 and *Escherichia coli* ATCC 25927) were obtained from Microbiology Laboratory of Faculty of Pharmacy, Universiti Kebangsaan Malaysia (Kuala Lumpur, Malaysia). Mueller-Hinton broth (MHB) and Mueller-Hinton agar (MHA) were obtained from Difco laboratory of Becton Dickinson Company, USA. Gentamicin sulfate was purchased from Nacalai Tesque, Japan. For cell viability test, human dermal fibroblasts (HDF) were isolated from human skin sample after obtaining consent from patient.

METHODS

PREPARATION OF CHEM-AUNPS

2 mL of 0.1M HAuCl₄ solution was mixed with different concentrations of sodium citrate solution (1, 0.5, 0.25, 0.125, or 0.0625 mg/mL) to form non-stabilized AuNPs

(w/o-AuNPs). The mixture was stirred continuously at 500 revolutions per minute (rpm) and heated at 40 - 65 °C until a stable purplish-wine color was observed. To obtain stabilized AuNPs (w-AuNPs), 2 mL of 1% LMW CS as a stabilizing/capping agent was added into the mixture (after purplish wine was formed) and stirred for 10 min continuously at the same temperature as mentioned previously. Both w/o- and w-AuNPs were left to cool at room temperature and stored at 4 °C refrigerator for further analysis.

PREPARATION OF COLD AND HOT WATER LRE (CLRE AND HLRE, RESPECTIVELY)

For HLRE, powdered sclerotium was boiled in distilled water at the ratio 1:20 (w/v) at 90-95 °C for 60 min. Then, the mixture was filtered using Whatman No. 1 filter paper and the residues were re-extracted twice. For CLRE, a mixture of powdered sclerotium in water at the same ratio was continuously stirred at room temperature for 8 h. Then, the mixture was kept at -20 °C overnight. The frozen mixture was left at room temperature until it melted completely prior to stirring for 8 h, followed by keeping at -10 °C. This process was repeated thrice before the mixture was filtered using Whatman No. 1 filter paper and residues were re-extracted twice. The mixtures from HWE and CWE were then separately centrifuged at 10000 rpm and freeze-dried using ScanVac CoolSafe Basic Freeze Dryer (-110 °C, 4 L). The resultant HLRE and CLRE were kept at -20 °C prior to analysis. The extracts were fluffy powders with light and dark brown color for CLRE and HLRE, respectively.

PREPARATION OF BIO-AUNPS USING LRE AND CS

A solution of CLRE or HLRE at different concentrations (0.0625, 0.125, 0.25, 0.5, and 1 mg/mL) was mixed with 1 mL 0.1 M HAuCl₄ solution. Meanwhile, 0.1 g of LMW CS was dissolved in 10 mL of 1% v/v acetic acid under magnetic stirring at 500 rpm until fully dissolved. Subsequently, the mixture of extracts and HAuCL₄ was mixed with CS solution. The mixture was then subjected to sonication. Approximately after 90 min, a stable red-purplish-wine color was observed. The pH of AuNPs produced was adjusted from 6.8 to 7.4, by adding 1M sodium hydroxide. The AuNPs were cooled to room temperature prior to keeping at 5 °C for further analysis.

CHARACTERIZATION OF AUNPS UV-VIS SPECTROPHOTOMETRIC

The formation of Bio- and Chem-AuNPs was determined by UV spectroscopy (UV-vis Shimadzu 1800 spectrophotometer, Japan). The scanning of samples was set from 300 to 700 nm at a scan speed of 480 mm/ min. Baseline correction of the spectrophotometer was performed using a blank reference.

Samples for Fourier transform infrared spectroscopyattenuated total reflectance (FTIR-ATR) were prepared by freeze drying the extracts, CS, sodium citrate and resulting Bio- and Chem-AuNPs. The FTIR-ATR spectra of samples were recorded on a FTIR spectrometer (Spectrum 100; PerkinElmer, Walthman, MA, USA). The spectra were acquired using 32 scans and a 4 cm⁻¹ resolution from 4000 to 650 cm⁻¹.

PARTICLE SIZE, POLYDISPERSITY INDEX (PDI), AND ZETA POTENTIAL

The mean particle size (Z-average), PDI and zeta potential (surface charge) of Bio- and Chem-AuNPs were measured by a ZS-90 Zetasizer (Malvern Instruments, Worcestershire, UK). All measurements were performed at 25 °C at a detection angle of 90°. Each sample was measured in triplicate and the data were reported as mean \pm standard deviation (SD).

ANTIBACTERIAL ASSESSMENT PREPARATION OF MUELLER HINTON (MH) AGAR PLATE

An amount of 19 g of Difco MH agar powder was diluted in 500 mL of purified distilled water. The mixture was then thoroughly mixed and heated until the powder was fully dissolved. The solution was transferred to Schott bottle of 1000 mL and autoclaved at 121 °C for 20 min. The sterile MH agar was poured onto sterile petri dishes and allowed to solidify prior to use.

MH AGAR WELL DIFFUSION

The antibacterial tests were performed using MH agar well diffusion method with selected four isolates of pathogenic bacteria: gram-positive bacteria (S. aureus ATCC 6538, Bacillus sp. ATCC 27380) and gram-negative bacteria (P. aeruginosa ATCC 9027 and E. coli ATCC 25927). The tests were carried out under aseptic technique in a laminar flow biological safety cabinet (class II) BHA 120 (Clyde Apae). Briefly, three isolated single colonies of bacteria were picked out to prevent selecting an atypical variant. The organism then was suspended in 10 mL of MH broth. The suspension of bacteria was incubated for 24 h for growing. After 24 h, the absorbance of the bacteria was measured using UV-vis spectroscopy. The turbidity of the suspension was standardized to McFarland 0.5 by adding more MH broth or bacteria. Once the bacteria suspension was standardized (1-2 \times 10⁸ CFU/mL), a sterile cotton swab was dipped into the suspension. For gram-negative bacteria, the excess fluid was removed by pressing and turning the swab against the inner wall of the tube to avoid over-inoculation. Then, the inoculum was spread evenly without gaps between streaks over the entire surface by swabbing in three directions on MH agar plates.

MH agar plates of each test organisms were prepared. The required numbers of holes (diameter = 6 mm) were cut using a sterile 1000 μ L pipette tips. Different sterile pipette tips were used for different test organisms. Gentamicin (1 mg/mL) and Milli Q water was used as positive and negative control, respectively. Samples (70 μ L of different concentrations of Bio- and Chem-AuNPs) were loaded into the holes separately. The lid was placed and sealed with parafilm before incubating (Memmert) at 37 ± 0.2 °C. After 24 h of incubation, the diameter of inhibition zone (mm) was measured using an electronic digital Vernier caliper. All the measurements were made with the unaided eyes while viewing the back of petri dish by holding the plate a few inches above a black, nonreflecting surface. The experiment was made in triplicate.

DETERMINATION OF MINIMUM INHIBITORY CONCENTRATION (MIC) AND MINIMUM BACTERICIDAL CONCENTRATION (MBC)

MIC was calculated for the selected Bio-AuNPs only. From the selected nanoparticles, different concentrations of lyophilized Bio-AuNPs (6.25, 12.5, 25, 50, 100, and 200 µg/mL) was prepared and determined for MIC using micro broth dilution method. The MIC is defined as the lowest concentration at which no visible cell growth is observed. The result was obtained by observation and absorbance reading using a microplate reader (Bio Tek PowerWave XS, US). Through microplate reader, point of MIC is where the level of absorbance of the well with treatment is lower than the well containing bacteria only. For determination of MBC, a sample from the first well with no visible growth was spread on the MH agar plates. MBC is stated as the concentration with maximum dilution displaying no growth on agar plates after 48 h incubation at 37 °C.

CELL VIABILITY TEST

In each well of 96-well plate, approximately 2500 cells/ well of HDF (cell passage number of 2-6) were seeded. The cells were grown in an incubator at 37 °C with 5% CO₂ overnight. Subsequently, the cells were exposed to various concentrations of Bio- and Chem-AuNPs (0.0625, 0.125, 0.25, 0.5, and 1 mg/mL) for 24 h at the treatment to growth media ratio of 1:1. After 24 h, each well was then washed with sterile PBS solution before replacing with a fresh DMEM. Later, 10% w/v of alamarBlueTM solution was added to each well and further incubated for 4 h. After that, the mixture in each well was transferred to a new 96-well plate before shaking on a shaker for 30 min. The absorbance of the cell suspensions at 570 nm was recorded using a microplate reader (Bio Tek PowerWave XS, US). The percent of cell viability was calculated as follows:

Cell viability (%) = [(absorbance of treated samples)/(absorbance of control)] ×100

STATISTICAL ANALYSIS

Data obtained are presented as mean \pm standard deviation (SD). The data were analyzed by two-way ANOVA, followed by Bonferroni's test using GraphPad Prism 8 software. Values of p < 0.05 indicated statistical significance difference among the groups tested.

RESULTS AND DISCUSSION

FORMATION OF BIO-AUNPS AND CHEM-AUNPS

In this study, AuNPs were formed by using chemical and biological synthesis. Different concentrations of reducing agent were used for CLRE as well as HLRE and sodium citrate for biosynthesis and chemical method, respectively. The reaction time for completing the formation of Bio-AuNPs was approximately 2 h while 15 to 20 min was recorded for Chem-AuNPs, by looking at the formation of purplish color, an indication of AuNPs in the reaction (Nayak 2014). Based on UV-vis spectroscopy analysis in Table 1(a) and 1(b), Bio- and Chem-AuNPs exhibited an absorption band around 523-544 and 466-564 nm, respectively, a typical surface Plasmon resonance (SPR) band for AuNPs. The SPR band is originated from absorption photons and used to indicate the formation of AuNPs (Said et al. 2019). For AuNPs, the SPR band is reported within the range of 400-600 nm (Zhuang et al. 2018).

In case of Bio-AuNPs, the maximum wavelength increased as the concentration of HLRE or CLRE was increased from 0.0625 to 1.000 mg/mL. By comparing the maximum wavelength of both Bio-AuNPs, AuNPs reduced by HLRE exhibited a higher wavelength (531 to 544 nm) than CLRE (524 to 530 nm) (Two-way ANOVA, followed by Bonferroni's test, p<0.05). Despite a lower absorbance was measured for Bio-AuNPs reduced by HLRE compared to CLRE, but the difference was not statistically significant.

In contrast to that, the maximum wavelength gradually lowered as sodium citrate concentration was increased up to 0.5 and 0.25 mg/mL for w/o-AuNPs and w-AuNPs, respectively. Further increase in sodium citrate concentration resulted in the shifting to higher wavelength again. The addition of CS into AuNPs also resulted in shifting of the maximum wavelength to a lower value. Both w/o- and w-AuNPs showed a fluctuation of absorbance as sodium citrate concentration was increased. The maximum absorbance was observed at sodium citrate concentration of 1.00 and 0.25 mg/mL for w/o-AuNPs and w-AuNPs, respectively, indicating high number of AuNPs produced at these concentrations. In general, w-AuNPs had lower absorbance compared to w/o-AuNPs except at 0.25 mg/mL sodium citrate, indicating lower number of AuNPs present after stabilizing with CS, probably due to the CS coating, coated a group of nanoparticles (instead of an individual particle) to form a nanocomposite, consisting of more than one particles (Kiaie et al. 2016).

The maximum wavelength of Bio-AuNPs was higher than Chem-AuNPs, typically due to larger particle size of Bio-AuNPs. Increase in the maximum wavelength is commonly related to the small particle size (Noruzi 2014; Said et al. 2019). The absorbance of Bio-AuNPs was also slightly higher than Chem-AuNPs, indicating higher number of Bio-AuNPs produced even though the size was larger than Chem-AuNPs. In addition to that, high absorbance number indicates particle size that was formed is larger as the high absorbance enhances the mean free path of electrons in larger nanoparticles (Zuber et al. 2016). In this study, the pH of both AuNPs was maintained in the range of 6.8 to 7.4 to obtain stable, small and monodisperse particles. At pH 7 (neutral), the red wine color of aqueous solution of AuNPs could be maintained with no sign of aggregation (Wagers et al. 2014).

TABLE 1. Spectroscopic wavelength and absorbance of (a) Chem-AuNPs reduced by different concentrations of sodium citrate and stabilized by CS; (b) Bio-AuNPs prepared using different extraction methods and at various concentrations of CLRE and HLRE, n = 3

(a)	Sodium citrate concentration (mg/mL)	Wavelength (nm) \pm SD	Absorbance $(A) \pm SD$
w/o-AuNPs	0.0625	564 ± 31	2.41 ± 1.35
	0.1250	536 ± 6	3.44 ± 0.25
	0.2500	534 ± 8	2.88 ± 0.91
	0.5000	$510. \pm 46$	2.59 ± 1.39
	1.0000	556 ± 34	4.00 ± 0.01
w-AuNPs	0.0625	500 ± 139	1.66 ± 1.30
	0.1250	501 ± 56	3.30 ± 0.96
	0.2500	466 ± 66	3.40 ± 1.05
	0.5000	505 ± 28	2.31 ± 1.85
	1.0000	530 ± 60	1.61 ± 2.07
(b)	LRE concentration (mg/mL)	Wavelength (nm) \pm SD	Absorbance $(A) \pm SD$
CLRE	0.0625	523 ± 1	3.44 ± 0.39
	0.1250	524 ± 0	3.21 ± 0.22
	0.2500	524 ± 1	3.91 ± 0.07
	0.5000	526 ± 1	3.20 ± 0.72
	1.0000	530 ± 1	3.52 ± 0.40
HLRE	0.0625	531 ± 1	2.72 ± 0.36
	0.1250	532 ± 4	2.62 ± 0.40
	0.2500	537 ± 3	2.04 ± 0.22
	0.5000	539 ± 3	1.67 ± 0.78
	1.0000	544 ± 1	1.50 ± 0.33

Generally, the percent yield for Bio-AuNPs was higher than chem-AuNPs. The yield of Bio-AuNPs from CLRE and HLRE was 83 and 75%, respectively. On the other hand, w-AuNPs produced 65% of yield while a lower yield was obtained for w/o-AuNPs (62%). This finding corroborated with a previous report that suggesting the synthesis of AuNPs using plant extracts is a safe method and produces higher yield than chemical method (Ahmad & Ikram 2015).

PARTICLE SIZE, PDI, AND ZETA POTENTIAL

Particle size of AuNPs is affected by the type and concentration of reducing and stabilizing agents alongside several other factors including concentration of HAuCl₄, reaction temperature, time and pH (Dubey et al. 2010; Iravani 2011; Philip 2010; Zhao et al. 2012). A decrease in particle size was observed for Bio-AuNPs when CLRE concentration was increased up to 0.25 mg/mL. Further increase in CLRE concentration did not reduce the particle size more, indicating the optimal concentration was achieved at 0.25 mg/mL for obtaining the smallest particles. In contrast, AuNPs reduced by HLRE showed an increase in particle size by increasing HLRE concentration (Table 2(a)). Although at certain concentrations, CLRE produced smaller particles (from 0.25 to 1 mg/mL) compared to HLRE, however, they were not significantly different statistically, indicating the type of extraction had no impact on the particle size at the selected concentrations (Two-way ANOVA, followed up by using Bonferroni's test, p > 0.05). Therefore, the concentration of reducing agent (CLRE and HLRE) had a more important role than the extraction method (to produce different extracts) in influencing the particle size of Bio-AuNPs. The finding is in agreement with another study that using *Magnolia kobus* and *Diopyros kaki* extracts as reducing agents (Song et al. 2009).

The size of Chem-AuNPs was also influenced by the concentration of reducing agent. The particle size decreased with the increasing sodium citrate concentration from 0.0625 to 0.25 and 0.125 mg/mL, for w/o-AuNPs and w-AuNPs, respectively (Table 2(b)). Further increase in the concentration of sodium citrate produced larger particles, showing the optimal concentration of reducing agent to obtain the smallest particles could be achieved at 0.25 and 0.125 mg/mL, for w/o-AuNPs (30.45 ± 12.21 nm) and w-AuNPs (220.43 ± 43.62 nm), respectively. The opposite relationship was reported previously, but different concentration of HAuCl₄ solution was used in the study to synthesize AuNPs (Harimurthi et al. 2013).

Moreover, the size of w/o-AuNPs $(30.45 \pm 12.21 \text{ to})$ 177.73 ± 13.80 nm) was generally smaller than w-AuNPs $(220.43 \pm 43.62 \text{ to } 483.79 \pm 12.34 \text{ nm})$. Despite the smaller size of w/o-AuNPs, only the higher sodium citrate concentrations (0.25, 0.5, and 1 mg/mL) were significantly affected by the addition of CS (two-way ANOVA, followed by Bonferroni's test, p < 0.05), demonstrating the possibility of an interaction between CS and excess reducing agents that might cause adverse effect to the nanoparticles' stability. The larger size of w-AuNPs than w/o-AuNPs was also expected because of CS coating as discussed above. By comparing both Chem- and Bio-AuNPs, larger particle size was observed for Bio-AuNPs than Chem-AuNPs, probably because of CS coating as well. A similar finding was also reported elsewhere, showing an increase in particle size of AuNPs after the addition of CS (Mohan et al. 2019).

TABLE 2. Mean particle size, PDI, and zeta potential of (a) Bio-AuNPs prepared using different extraction method and at variou
concentrations of CLRE and HLRE; (b) Chem-AuNPs reduced by different concentrations of sodium citrate and stabilized by CS,

= 3

(a)	LRE concentration (mg/mL)	Particle size (nm) \pm SD	$\text{PDI} \pm \text{SD}$	Zeta potential (mV) \pm SD
CLRE	0.0625	276.90 ± 51.62	0.47 ± 0.04	$+32.77 \pm 25.37$
	0.1250	251.90 ± 102.05	0.43 ± 0.03	$+42.13 \pm 4.37$
	0.2500	208.23 ± 35.81	0.46 ± 0.13	$+30.33 \pm 21.68$
	0.5000	222.70 ± 28.42	0.50 ± 0.10	$+42.53 \pm 0.61$
	1.0000	215.20 ± 27.96	0.58 ± 0.12	$+46.20 \pm 3.40$
HLRE	0.0625	190.00 ± 31.66	0.45 ± 0.02	$+45.43\pm8.30$
	0.1250	235.87 ± 42.16	0.51 ± 0.04	$+44.17 \pm 4.38$
	0.2500	246.20 ± 94.60	0.52 ± 0.06	$+40.30\pm3.48$
	0.5000	302.03 ± 36.97	0.54 ± 0.07	$+48.03 \pm 2.94$
	1.0000	322.07 ± 27.75	0.68 ± 0.09	$+38.37 \pm 2.27$

3

(b)	Sodium citrate concentration (mg/mL)	Particle size (nm) \pm SD	$\text{PDI} \pm \text{SD}$	Zeta potential (mV) \pm SD
w/o-AuNPs	0.0625	177.73 ± 13.80	0.315 ± 0.019	-22.91 ± 19.35
	0.1250	48.11 ± 30.61	0.531 ± 0.154	-35.2 ± 1.91
	0.2500	30.45 ± 12.21	0.625 ± 0.028	-38.13 ± 5.23
	0.5000	45.45 ± 16.75	0.448 ± 0.059	-42.27 ± 5.03
	1.0000	71.81 ± 25.02	0.523 ± 0.259	-28.02 ± 11.80
w-AuNPs	0.0625	407.20 ± 186.47	0.429 ± 0.174	$+41.39\pm4.36$
	0.1250	220.43 ± 43.62	0.557 ± 0.041	$+37.22 \pm 1.89$
	0.2500	413.97 ± 240.01	0.571 ± 0.091	$+39.23\pm3.96$
	0.5000	483.79 ± 12.34	0.414 ± 0.213	$+24.67\pm6.24$
	1.0000	381.90 ± 159.00	0.477 ± 0.181	$+14.78\pm4.39$

All AuNPs stabilized by CS had positive surface charge, ranging from $+30.3 \pm 21.6$ to $+46.2 \pm 3.4$ mV, $+38.4 \pm 2.7$ to $+48 \pm 2.9$ mV and $+14.78 \pm 4.36$ to +41.39 \pm 4.36 mV for Bio-AuNPs reduced by CLRE as well as HLRE and chemically synthesized w-AuNPs, respectively. The positive surface charge was attributed by the presence of CS molecules on the AuNPs surfaces (Esumi et al. 2003). The electrostatic interaction between the positively charged amino groups (NH³⁺) in chitosan and metal salt ions (AuCl⁴⁻) in solution effectively stabilized the nanoparticles (Bhumkar 2007; Huang & Yang 2004) and later, facilitated interaction with the negatively charged bacterial cell wall. On the other hand, all w/o-AuNPs (Chem-AuNPs) had negative zeta potential values $(-22.91 \pm 19.35 \text{ to } -42.27 \pm 5.03 \text{ mV})$. Based on the results, regardless of reducing agent type and concentration, all AuNPS were stable and less prone to aggregation owing to their high surface charge density that more than ± 30 mV (Czechowska-Biskup et al. 2015). Generally, AuNPs biosynthesized using plant extracts carry a negative charge on the particle surface (Koperuncholan 2015). The same was also reported for AuNPs synthesized using sodium citrate (Ngo et al. 2015).

PDI of Bio-AuNPs reduced by both extracts was in the range of 0.4-0.7, indicating the particle size was widely distributed. Meanwhile, Chem-AuNPs had slightly lower PDI values, ranging from 0.3 to 0.6. Generally, the PDI value of AuNPs increased as more reducing agent was added into the gold ions solution. However, lower values were obtained if more than 0.25 mg/mL sodium citrate was added, for both w-AuNPs and w/0-AuNPs. Previously, an increase in PDI value was also reported with the increasing sodium citrate concentration (Zabetakis et al. 2012), showing the important of reducing agent in producing monodisperse particles.

ATR-FTIR SPECTROSCOPIC ANALYSIS

FT-IR analysis of Bio-AuNPs and their single constituents is presented in Figure 1(a). The peak at 3201.18 and 3270.21 cm⁻¹ in the spectrum of CS and L. rhinocerotis extract, respectively, was attributed by the -OH stretching modes of vibration in alcohols. The peak is then shifted to 3328.16 and 3323.54 cm⁻¹ for Bio-AuNPs synthesized by CLRE and HLRE, respectively. This indicates that -OH group is mainly responsible for the AuNPs production, reducing Au³⁺ to Au⁰ (Mapala & Pattabi 2017). The spectra of LRE and CS also show IR band characteristics of amide band (1653.27 and 1646.31 cm⁻¹, respectively) and amine band (1071.22 and 1040.83 cm⁻¹, respectively). Additionally, a minor peak at around 2900 cm⁻¹ suggests the presence of carboxylic and phenolic functional groups in LRE (Eskandari-Nojedehi et al. 2017) while in the spectrum of CS, a minor peak corresponds to the presence of carboxylic groups in the polymer structure (Figure 1(a)). Polysaccharides, flavonoids, and terpenoids are the compounds reported to act as reducing and stabilizing agents (Noruzi 2014). The bonds related to amide group (N-H) represented the presence of proteins on the surface of Bio-AuNPs synthesized from both CLRE and HLRE. The functional groups such as alkaloid or flavones, and the primary amide bonds present in the proteins are the capping ligands of the nanoparticles. The presence of proteins on the surface of nanoparticles can prevent their agglomeration (Chahardoli et al. 2017).

Despite the appearance of peak at 1040 cm⁻¹ (corresponds to amine) for CS spectrum in Figure 1(a), both CS spectra used in the synthesis of Bio- and Chem-AuNPs are similar due to the presence of large and intense bands at 3200-3400 cm⁻¹, relating to the hydrogen-bonded O–H stretches overlapped with a number of N–H stretching bands. Moreover, the characteristic peaks at

1640 and 1340 cm⁻¹ are corresponding to C=O stretching (amide I) and C–N stretching (amide III) modes of the residual N-acetyl groups, respectively (Wang et al. 2016). Furthermore, the appearance of peak will only provide qualitative estimation of the amount of a moiety (Blando et al. 2001), explaining the insignificant peak of amine at around 1040 cm⁻¹ as shown in Figure 1(b). Based on Figure 1(b), sodium citrate showed characteristics peak at 3340.81 (–OH stretching), 2170.99 and 2108.76 (C≡C stretching) and 1636.96 cm⁻¹ (–COO⁻ stretching). Sodium citrate possesses functional groups of amide and hydroxyl (Mohan et al. 2013) which also found in Chem-AuNPs spectra, indicating the formation of Chem-AuNPs by sodium citrate. The citrate ions act as reducing agents which react with Au³⁺ to form Au⁰(gold atom). The citrate ions also act as stabilizing agents, wrapping around the cluster of atoms which constitute the nanoparticles. This negative charge introduced on the surface of nanoparticles by citrate ions creates an electrostatic repulsion around the nanoparticle which repels from each other. Hence, it prevents aggregation and stabilizes the nanoparticles (Nayfeh 2018).



FIGURE 1. FTIR spectra of (a) Bio-AuNPs synthesized and their single constituents (CLRE, HLRE and CS); (b) Chem-AuNPs and their single constituents (sodium citrate and CS)

MORPHOLOGY OF THE CS-AUNPS

Morphology of Bio-AuNPs was viewed under a scanning electron microscope (SEM) and transmission electron microscope (TEM) as shown in Figure 2(a) and 2(b) and morphology of Chem-AuNPs was viewed under TEM only. The particle size was also determined by using Image J software based on the SEM micrographs. In this analysis, the concentrations that produced small particles were selected from both Bio-AuNPs (1 and 0.25 mg/mL for CLRE, while 0.125 and 0.06 mg/mL for CLRE) and Chem-AuNPs (0.125 and 0.25 mg/mL for w-AuNPs and w/o-AuNPs, respectively). Based on SEM analysis, Bio-AuNPs were mostly irregular and spherical in shape with the particle size ranged from 110 to 140 nm and 50-150 nm for CLRE and HLRE, respectively. AuNPs reduced by *M. piperita* were also spherical in shape with the size about 150 nm (Mubarak Ali et al. 2011) while by using Papaver somniferum extract, AuNPs with triangular structure were produced and the average size was about 77 nm (Wali et al. 2017). For TEM analysis, spherical particles were mostly observed with some other shapes including triangular, pentagonal and hexagon in BioAuNPs sample. Similar to Bio-AuNPs, in Chem-AuNPs sample, spherical particles are the major shape along with pentagonal, oval and hexagon. Previous study stated that the different shape of nanoparticles has been reported to kill bacteria differently and therefore, exhibit different antibacterial effect (Penders et al. 2017). Through TEM analysis, average size of Bio-AuNPs synthesized from 0.25 mg/mL CLRE was 14.6 nm while for CS-AuNPs synthesized from 1 mg/mL CLRE was 17.69 nm. Average size of CS-AuNPs synthesized from 0.12 mg/mL HLRE was 20.6 nm while for CS-AuNPs synthesized from 0.06 mg/mL CLRE was 21.3 nm. Chem-AuNPs synthesized with chitosan produces larger size (average size = 8.66nm) as compared to the one synthesized without chitosan (average size = 4.07 nm). A study reported that AuNPs synthesized from chitosan as reducing and stabilizing agent, through TEM analysis, AuNPs formed were spherical in shape with the average size of 8-10 nm (Lanh Le et al. 2014). Morphological analysis proven that both Bioand Chem-AuNPs had similar shape but smaller sizes of Chem-AuNPs were observed which in agreement with the results obtained from the laser light scattering technique.



FIGURE 2. (A) SEM and (B) TEM micrographs of Bio-AuNPs reduced by LRE (a) 0.25 mg/mL CLRE, (b) 1 mg/mL CLRE, (c) 0.0625 mg/mL HLRE and (d) 0.125 mg/mL HLRE.
(C) TEM micrographs of (a) w/o-AuNPs reduced by 0.25 mg/mL sodium citrate and (b) w-AuNPs reduced by 0.125 mg/mL sodium citrate

ANTIBACTERIAL ACTIVITY OF THE BIO-AUNPS AND CHEM-AUNPS

Antibacterial test was carried out using MH agar well diffusion method as reported previously (Rajan et al. 2017) on selected gram-positive (*S. aureus* and *Bacillus* sp.)

and gram-negative bacteria (*P. aeruginosa* and *E. coli*). Based on the results in Table 3, regardless of extract type, Bio-AuNPs exhibited antibacterial activity against all the selected bacteria except for *Bacillus* sp. Despite particle size of Bio-AuNPs was relatively large, but they still inhibited the growth of bacteria effectively, demonstrating the effect might not be entirely depending on the particle size, at least for Bio-AuNPs. The antibacterial activity might be also attributed by CS because amino groups in its structure interact with the bacteria via ionic interaction, damaging the bacterial membrane (Kang et al. 2016; Ye et al. 2005). Among other Bio-AuNPs, the ones reduced by 0.25 mg/mL CLRE as well as 0.0625 and 0.125 mg/ mL had shown higher antibacterial activity. This could be attributed by their smaller particle size which provided larger surface area for effective contact with the bacterial surface and thus, enhancing antimicrobial efficiency (Ye et al. 2005). This finding therefore suggested that by influencing the particle size, LRE concentration was indirectly affecting the antibacterial activity of Bio-AuNPs. Additionally, the antibacterial activity was not affected by extraction method except for Bio-AuNPs reduced by the lowest concentration of LRE against *S. aureus* (two-way ANOVA, followed by Bonferroni's test, p<0.05) as HLRE exhibited better effect than CLRE, probably because of smaller particle size of HLRE compared to CLRE.

	LRE concentration (mg/mL)	Zone of inhibition (mm)			
	_	S. aureus	Bacillus sp.	P. aeruginosa	E. coli
CLRE	*0.0625	*3 ± 6	_	7 ± 6	4 ± 7
	0.1250	11 ± 1	_	8 ± 7	4 ± 7
	0.2500	11 ± 1	_	12 ± 1	11 ± 1
	0.5000	9 ± 1	-	7 ± 6	7 ± 7
	1.0000	9 ± 1	-	10 ± 1	11 ± 0
+ control (Gentamicin)		32 ± 2	33 ± 1	34 ± 0	29 ± 2
- control (distilled water)		_	_	_	_
HLRE	*0.0625	*11 ± 1	3 ± 6	10 ± 0	4 ± 7
	0.1250	11 ± 1	4 ± 7	11 ± 1	4 ± 7
	0.2500	11 ± 0	-	6 ± 6	7 ± 6
	0.5000	11 ± 0	-	9 ± 0	—
	1.0000	10 ± 0	—	9 ± 1	11 ± 0
+ control (Gentamicin)		31 ± 2	32 ± 1	36 ± 0	30 ± 0
- control (distilled water)		_	_	_	_

TABLE 3. Zone of inhibition (mm) of *S. aureus, Bacillus* sp., *P. aeruginosa,* and *E. coli* for Bio-AuNPs prepared using different extraction methods and at various concentrations of CLRE and HLRE, n = 3

-Zone of inhibition of bacteria unsubstantiated. *Significantly different between CLRE and HLRE (p<0.05). All tested samples were significantly different from the positive control (p<0.05)

All selected bacteria were affected by the presence of Chem-AuNPs as shown in Table 4(a). However, the antibacterial activity could only be seen for w/o-AuNPs reduced by lower concentrations of sodium citrate (0.0625 to 0.125 mg/mL). Above 0.125 mg/mL sodium citrate, antibacterial activity was not detected except for w-AuNPs against *S. aureus,* showing the possibility of synergistic effect of combining AuNPs and CS. The antibacterial activity of Chem-AuNPs was apparently affected by the concentration of sodium citrate, as the antibacterial activity reduced when the concentration was increased. Higher concentration of citrate was reported to produce AuNPs with reduced inhibitory effect compared to lower concentration (Zhou et al. 2012). This could be due to the aggregation of AuNPs as metal nanoparticles tend to aggregate, resulting reduced interaction between AuNPs and bacterial membrane. This also resulted in fewer non-aggregated nanoparticles available to penetrate

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the bacteria. Hence, bacterial growth was only slightly inhibited.

It can be observed that generally, w/o-AuNPs had a better antibacterial effect than w-AuNPs for all bacteria strains. However, the effect was only significantly different for gram-negative bacteria (two-way ANOVA, followed by Bonferroni's test, p < 0.05). Based on the results, w/o-AuNPs showed a better antibacterial activity than w-AuNPs, owing to the smaller size of w/o-AuNPs (177.73 \pm 13.80 and 48.11 \pm 30.61 for 0.0625 and 0.125 mg/mL, respectively). Therefore, in case of Chem-AuNPs, particle size is thought to play a more important role in inhibiting bacteria growth, compared to other factors such as positive surface charge of w/o-AuNPs. Despite that, it could also be seen that the smaller size of w/o-AuNPs reduced by 0.125 mg/mL sodium citrate (48 nm) did not show superior inhibitory effect than the 0.0625 mg/mL sodium citrate (178 nm), probably the effect had a certain acceptable

particle size range, not too small or big.

Furthermore, the antibacterial activity of 1% w/v CS and LRE (CLRE and HLRE) were evaluated and the results are shown in Table 4(b). CS exhibited antibacterial activity against all bacteria tested except for Bacillus sp. Both CLRE and HLRE did not show any antibacterial activity although a study elsewhere reported that LRE had the highest antibacterial activity at 30 mg/mL, probably due to different extraction method used (Mohanarji et al. 2012). Katas et al. (2018) prepared AuNPs using LRE and different CS concentrations (0.2, 0.4, 0.6, 0.8, 1.0, and 1.2% w/v) to produce CS-stabilized AuNPs (CS-AuNPs). Both S. aureus and P. aeruginosa were affected by CS-AuNPs and the effect increased by increasing CS concentration. The highest activity was recorded with AuNPs stabilized using 1% w/v CS and therefore, the concentration was used in this study.

TABLE 4. Zone of inhibition (mm) of *S. aureus, Bacillus* sp., *P. aeruginosa,* and *E. coli* for (a) Chem-AuNPs prepared using different concentrations of sodium citrate and stabilized by CS; (b) CS and LRE, n = 3

(a)	Sodium citrate concentration (mg/mL)	Zone of inhibition (mm)				
		S. aureus	Bacillus sp.	P. aeruginosa	E. coli	
w/o-AuNPs	0.0625	22 ± 2	14 ± 1	*19 ± 1	$*20 \pm 1$	
	0.1250	19 ± 2	8 ± 7	*12 ± 1	$*15 \pm 1$	
	0.2500	_	_	_	_	
	0.5000	_	_	_	_	
	1.0000	_	_	_	_	
w-AuNPs	0.0625	15 ± 0	13 ± 1	$*10 \pm 0$	$*11 \pm 0$	
	0.1250	11 ± 10	13 ± 0	$*18 \pm 0$	$*12 \pm 0$	
	0.2500	4 ± 8	_	_	_	
	0.5000	_	_	_	_	
	1.0000	3 ± 6	_	_	—	
+ control (Gentamicin)		30 ± 1	31 ± 1	31 ± 4	30 ± 2	
- control (distilled water)		-	-	-	-	
(b) Zone of inhibition (mm)						
	S. aureus	Bacillus sp.	P. aeruginosa	Е. с	E. coli	
1% w/v chitosan	9 ± 0	-	8 ± 0	9 ±	9 ± 0	
CLRE	-	-	-	-		
HLRE	-	-	-	-		

- Zone of inhibition of bacteria unsubstantiated. *Significantly different between w/o-AuNPs and w-AuNPs (p<0.05). All tested samples were significantly different from the positive control (p<0.05)

MIC AND MBC

For determination of MIC and MBC, Bio-AuNPs with the highest activity for each extract (CLRE and HLRE) were selected. Therefore, MIC was determined for Bio-AuNPs reduced by 0.25 mg/mL CLRE and 0.125 mg/mL HLRE. The MIC value for Bio-AuNPs was determined by spectrophotometric method using a microplate reader. In this method, MIC was defined as the concentration at which there was a sharp decline in the absorbance value (Devienne & Raddi 2002). The optical density of bacteria was measured at a wavelength of 600 nm to minimize the background absorbance as bacteriological media (yellow) has a low absorbance at this wavelength (McBirney et al. 2016). Generally, Bio-AuNPs synthesized by HLRE exhibited a better antibacterial activity compared to CLRE as shown by their MIC values in Figure 3. Although both Bio-AuNPs had the same MIC against Staphylococcus aureus (100 µg/mL), however, in case of Escherichia coli, MIC of Bio-AuNPs reduced by CLRE was higher than HLRE as a sharp decline was observed at 200

µg/mL and 50 µg/mL for CLRE and HLRE, respectively. Moreover, MIC for Bio-AuNPs synthesized from CLRE was 2-fold higher (200 μ g/mL) than HLRE (100 μ g/mL) against P. aeruginosa. AuNPs reduced by other extracts, Ocimum tenuiflorum, Azadirachta indica, and Mentha spicata and Citrus sinensis plants were also reported to inhibit gram positive and negative bacteria at the range of 512 - 600 µg/mL (Rao et al. 2017). AuNPs inhibit the bacteria by rupturing the cell wall and disturbing the metabolism of bacteria via chemical reactions. Smaller size of spherical AuNPs provides larger surface area that may significantly contribute to their antibacterial activity (Khan et al. 2016). MBC is defined as the minimum concentration of an antimicrobial drug that is bactericidal. MBC is determined by sub-culturing broth dilutions that considered as point of MIC and the broth dilutions are streaked onto agar prior to incubation for 24 h (Sykes & Rankin 2014). Both Bio-AuNPs had bacteriostatic effect as the growth of bacteria was seen on the MH agar after 24 h, indicating their ability to inhibit rather than kill the bacteria (Figure 4).



FIGURE 3. MIC of Bio-AuNPs against S. aureus, P. aeruginosa and E. coli

Cell viability is a determination of either living or dead cells, based on the total sample cells. This test was carried out to evaluate the cytotoxicity of AuNPs synthesized by either chemical or biological method. In this study, only optimized AuNPs with high antibacterial activity for both methods were selected. Both Chem-AuNPs showed a decreasing cell viability, as the concentration of nanoparticles was increased (Table 4(a)). Similar findings were reported by others and citrate was reported to cause adverse effect on the cells (Pernodet et al. 2006; Vijayakumar et al. 2012). Citrate-based nanoparticles will cause the proliferation of dermal fibroblasts to be disrupted and actin filaments to develop abnormally, leading to decrease in motility (Vijayakumar et al. 2012). These results indicate that internal cell function was impaired. Based on the results, it could also be seen that w-AuNPs had a better cell viability than w/o-AuNPs as CS could improve the biocompatibility of metallic nanoparticles (Cinteza et al. 2018).



FIGURE 4. MBC of Bio-AuNPs reduced by CLRE against (a) *S. aureus*, (b) *P. aeruginosa* and (c) *E. coli* as well as Bio-AuNPs reduced by HLRE against (d) *S. aureus*, (e) *P. aeruginosa* and (f) *E. coli*



FIGURE 5. Cell viability (%) of HDF for (a) Bio-AuNPs and (b) Chem-AuNPs at various concentrations for 24 h exposure, n=3

On the other hand, Bio-AuNPs reduced by both CLRE and HLRE showed concentration-dependent cytotoxicity, by which decreasing cell viability was observed as the concentration of AuNPs was increased (Table 4(b)). Moreover, the cytotoxicity effect was not affected by the type of extraction method (two-way ANOVA, followed by Bonferroni's test, p>0.05). In comparison to Chem-AuNPs, Bio-AuNPs were proven to be less toxic. Similar findings were also reported using another mushroom extract (Ganoderma spp.) that showed lower cytotoxicity of biosynthesized AuNPs than the chemically synthesized ones (Gurunathan et al. 2014).

CONCLUSION

CS-stabilized AuNPs formed using either chemical or biological method exhibited larger particle size compared to non-stabilized AuNPs (w/o-AuNPs). Both synthesis methods produced AuNPs with antibacterial activity although Bio-AuNPs had larger particle size than Chem-AuNPs, probably due to the presence of CS and *L. rhinocerotis* extracts, capping the surface of AuNPs. Moreover, Bio-AuNPs were relatively less cytotoxic than Chem-AuNPs. Thus, it proved that Bio-AuNPs were safer than Chem-AuNPs as an antibacterial agent.

ACKNOWLEDGEMENTS

This work was funded by the *Arus Perdana* grant provided by Universiti Kebangsaan Malaysia (AP-2017-003).

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Received: 18 January 2020 Accepted: 3 March 2020